

General information about company

| | |
|--|--------------------------|
| Scrip code | 511505 |
| NSE Symbol | CAPTRUST |
| MSEI Symbol | NOTLISTED |
| ISIN | INE707C01018 |
| Name of the entity | CAPITAL TRUST LIMITED |
| Date of start of financial year | 01-04-2021 |
| Date of end of financial year | 31-03-2022 |
| Reporting Quarter | Quarterly |
| Date of Report | 30-06-2021 |
| Risk management committee | Not Applicable |
| Market Capitalisation as per immediate previous Financial Year | Top 2000 listed entities |

Annexure I

Annexure I to be submitted by listed entity on quarterly basis

I. Composition of Board of Directors

| Disclosure of notes on composition of board of directors explanatory | | | | | | | | | | | | | | | |
|--|----------|--------------------------------------|-------------------------|-------------------------|---------------|---|------------------------------------|-----------------------------|------------------------|-------------------|--------------------------------|--|---|---|--|
| Whether the listed entity has a Regular Chairperson | | | | | | | | | | | | Yes | | | |
| Whether Chairperson is related to MD or CEO | | | | | | | | | | | | Yes | | | |
| PAN | DIN | Category 1 of directors | Category 2 of directors | Category 3 of directors | Date of Birth | Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations] | Date of passing special resolution | Initial Date of appointment | Date of Re-appointment | Date of cessation | Tenure of director (in months) | No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations) | No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations) | Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations) | No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations) |
| AAGPK3964R | 00203165 | Executive Director | Chairperson | MD | 22-05-1965 | NA | | 01-04-2003 | 10-08-2017 | | 1 | 0 | 2 | 0 | |
| AAGPK3965Q | 03496484 | Executive Director | Not Applicable | | 04-04-1966 | NA | | 27-03-2015 | 10-08-2020 | 29-06-2021 | 0 | 0 | 0 | 0 | |
| AAGPK3574M | 01021382 | Non-Executive - Independent Director | Not Applicable | | 01-11-1957 | NA | | 11-08-2018 | 11-08-2018 | 29-06-2021 | 34 | 0 | 0 | 0 | |
| AOFPS4618P | 00271256 | Non-Executive - Independent Director | Not Applicable | | 20-03-1959 | NA | | 11-08-2018 | 11-08-2018 | | 34 | 1 | 1 | 2 | |

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory

Wether the listed entity has a Regular Chairperson

| Sr | Title (Mr / Ms) | Name of the Director | PAN | DIN | Category 1 of directors | Category 2 of directors | Category 3 of directors | Date of Birth | Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations] | Date of passing special resolution | Initial Date of appointment | Date of Re-appointment | Date of cessation | Tenure of director (in months) | No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations) | No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations) | Num memb in A Stake Comm includ listed (Refer Regu 26(1) of Lis Regul |
|----|-----------------|----------------------|------------|----------|--------------------------------------|-------------------------|-------------------------|---------------|---|------------------------------------|-----------------------------|------------------------|-------------------|--------------------------------|--|---|---|
| 5 | Mrs | Suman Kukrety | AMRPK4167F | 08730773 | Non-Executive - Independent Director | Not Applicable | | 08-01-1976 | NA | | 28-03-2020 | 28-03-2020 | | 15 | 1 | 1 | 1 |
| 6 | Mr | Govind Saboo | AOQPS9623B | 06724172 | Non-Executive - Independent Director | Not Applicable | | 24-10-1983 | NA | | 08-02-2021 | 08-02-2021 | | 5 | 1 | 1 | 2 |
| 7 | Mr | Pawan Dubey | AIFPD0874M | 01767875 | Non-Executive - Independent Director | Not Applicable | | 09-11-1985 | NA | | 08-02-2021 | 08-02-2021 | | 5 | 1 | 1 | 2 |

| Audit Committee Details | | | | | | | |
|---|------------|---------------------------|--------------------------------------|-------------------------|---------------------|-------------------|---------|
| Whether the Audit Committee has a Regular Chairperson | | | | | Yes | | |
| Sr | DIN Number | Name of Committee members | Category 1 of directors | Category 2 of directors | Date of Appointment | Date of Cessation | Remarks |
| 1 | 00271256 | Sanjiv Syal | Non-Executive - Independent Director | Chairperson | 29-06-2021 | | |
| 2 | 00203165 | Yogen Khosla | Executive Director | Member | 01-04-2003 | | |
| 3 | 06724172 | Govind Saboo | Non-Executive - Independent Director | Member | 08-02-2021 | | |
| 4 | 01767875 | Pawan Dubey | Non-Executive - Independent Director | Member | 29-06-2021 | | |
| 5 | 01021382 | Nikhel Kochhar | Non-Executive - Independent Director | Chairperson | 11-08-2018 | 29-06-2021 | |

| Nomination and remuneration committee | | | | | | | |
|---|------------|---------------------------|--------------------------------------|-------------------------|---------------------|-------------------|---------|
| Whether the Nomination and remuneration committee has a Regular Chairperson | | | | | Yes | | |
| Sr | DIN Number | Name of Committee members | Category 1 of directors | Category 2 of directors | Date of Appointment | Date of Cessation | Remarks |
| 1 | 06724172 | Govind Saboo | Non-Executive - Independent Director | Chairperson | 29-06-2021 | | |
| 2 | 01767875 | Pawan Dubey | Non-Executive - Independent Director | Member | 08-02-2021 | | |
| 3 | 00271256 | Sanjiv Syal | Non-Executive - Independent Director | Member | 11-08-2018 | | |
| 4 | 08730773 | Suman Kukrety | Non-Executive - Independent Director | Member | 29-06-2021 | | |
| 5 | 01021382 | Nikhel Kochhar | Non-Executive - Independent Director | Chairperson | 11-08-2018 | 29-06-2021 | |

| Stakeholders Relationship Committee | | | | | | | |
|---|------------|---------------------------|--------------------------------------|-------------------------|---------------------|-------------------|---------|
| Whether the Stakeholders Relationship Committee has a Regular Chairperson | | | | | Yes | | |
| Sr | DIN Number | Name of Committee members | Category 1 of directors | Category 2 of directors | Date of Appointment | Date of Cessation | Remarks |
| 1 | 06724172 | Govind Saboo | Non-Executive - Independent Director | Chairperson | 08-02-2021 | | |
| 2 | 00203165 | Yogen Khosla | Executive Director | Member | 01-04-2003 | | |
| 3 | 01767875 | Pawan Dubey | Non-Executive - Independent Director | Member | 29-06-2021 | | |
| 4 | 00271256 | Sanjiv Syal | Non-Executive - Independent Director | Member | 29-06-2021 | | |
| 5 | 08730773 | Suman Kukrety | Non-Executive - Independent Director | Member | 29-06-2021 | | |
| 6 | 01021382 | Nikhel Kochhar | Non-Executive - Independent Director | Chairperson | 11-08-2018 | 29-06-2021 | |
| 7 | 03496484 | Anju Khosla | Executive Director | Member | 27-03-2015 | 29-06-2021 | |

| Risk Management Committee | | | | | | | |
|---|------------|---------------------------|--------------------------------------|-------------------------|---------------------|-------------------|---------|
| Whether the Risk Management Committee has a Regular Chairperson | | | | | Yes | | |
| Sr | DIN Number | Name of Committee members | Category 1 of directors | Category 2 of directors | Date of Appointment | Date of Cessation | Remarks |
| 1 | 06724172 | Govind Saboo | Non-Executive - Independent Director | Chairperson | 08-02-2021 | | |
| 2 | 00203165 | Yogen Khosla | Executive Director | Member | 11-08-2018 | | |
| 3 | 00271256 | Sanjiv Syal | Non-Executive - Independent Director | Member | 29-06-2021 | | |
| 4 | 01767875 | Pawan Dubey | Non-Executive - Independent Director | Member | 29-06-2021 | | |
| 5 | 01021382 | Nikhel Kochhar | Non-Executive - Independent Director | Member | 11-08-2018 | 29-06-2021 | |
| 6 | 03496484 | Anju Khosla | Executive Director | Member | 11-08-2018 | 29-06-2021 | |

| Corporate Social Responsibility Committee | | | | | | | |
|---|------------|---------------------------|-------------------------|-------------------------|---------------------|-------------------|---------|
| Whether the Corporate Social Responsibility Committee has a Regular Chairperson | | | | | | | |
| Sr | DIN Number | Name of Committee members | Category 1 of directors | Category 2 of directors | Date of Appointment | Date of Cessation | Remarks |

| Other Committee | | | | | | |
|------------------------|------------|---------------------------|-------------------------|-------------------------|-------------------------|---------|
| Sr | DIN Number | Name of Committee members | Name of other committee | Category 1 of directors | Category 2 of directors | Remarks |

Annexure 1

Annexure 1

III. Meeting of Board of Directors

| Disclosure of notes on meeting of board of directors explanatory | | | | | | | |
|--|---|--|---|------------------------------|--|------------------------------|---|
| Sr | Date(s) of meeting (if any) in the previous quarter | Date(s) of meeting (if any) in the current quarter | Maximum gap between any two consecutive (in number of days) | Notes for not providing Date | Whether requirement of Quorum met (Yes/No) | Number of Directors present* | No. of Independent Directors attending the meeting* |
| 1 | 08-02-2021 | | | | Yes | 7 | 5 |
| 2 | | 29-06-2021 | | | Yes | 5 | 4 |

Annexure 1

IV. Meeting of Committees

| Disclosure of notes on meeting of committees explanatory | | | | | | | | |
|--|---------------------------------------|---|---|-------------------------|-------------------------------|--|------------------------------|---|
| Sr | Name of Committee | Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order) | Maximum gap between any two consecutive (in number of days) | Name of other committee | Reason for not providing date | Whether requirement of Quorum met (Yes/No) | Number of Directors present* | No. of Independent Directors attending the meeting* |
| 1 | Audit Committee | 08-02-2021 | | | | Yes | 3 | 2 |
| 2 | Audit Committee | 29-06-2021 | | | | Yes | 3 | 2 |
| 3 | Nomination and remuneration committee | 08-02-2021 | | | | Yes | 2 | 2 |
| 4 | Nomination and remuneration committee | 29-06-2021 | | | | Yes | 2 | 2 |
| 5 | Stakeholders Relationship Committee | 08-02-2021 | | | | Yes | 3 | 1 |
| 6 | Stakeholders Relationship Committee | 29-06-2021 | | | | Yes | 2 | 1 |

Annexure 1**IV. Meeting of Committees**

| Sr | Name of Committee | Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order) | Maximum gap between any two consecutive (in number of days) | Name of other committee | Reason for not providing date | Whether requirement of Quorum met (Yes/No) | Number of Directors present* | No. of Independent Directors attending the meeting* |
|----|---------------------------|---|---|-------------------------|-------------------------------|--|------------------------------|---|
| 7 | Risk Management Committee | 08-02-2021 | | | | Yes | 3 | 1 |
| 8 | Risk Management Committee | 29-06-2021 | | | | Yes | 2 | 1 |

Annexure 1

V. Related Party Transactions

| Sr | Subject | Compliance status (Yes/No/NA) | If status is "No" details of non-compliance may be given here. |
|----|--|----------------------------------|--|
| 1 | Whether prior approval of audit committee obtained | Yes | |
| 2 | Whether shareholder approval obtained for material RPT | NA | |
| 3 | Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee | Yes | |

Annexure 1**VI. Affirmations**

| Sr | Subject | Compliance status (Yes/No) |
|----|--|----------------------------|
| 1 | The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015 | Yes |
| 2 | The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee | Yes |
| 3 | The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee | Yes |
| 4 | The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee | Yes |
| 5 | The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 500 listed entities) | NA |
| 6 | The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. | Yes |
| 7 | The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. | Yes |
| 8 | This report and/or the report submitted in the previous quarter has been placed before Board of Directors. | Yes |

Annexure 1

| Sr | Subject | Compliance status |
|----|-------------------|-------------------|
| 1 | Name of signatory | Yogen Khosla |
| 2 | Designation | Managing Director |

| Signatory Details | |
|--------------------------|-------------------|
| Name of signatory | Yogen Khosla |
| Designation of person | Managing Director |
| Place | Delhi |
| Date | 14-07-2021 |

