

| General information about company | |
|--------------------------------------------------------------------------------------------|-----------------------|
| Scrip code | 511505 |
| NSE Symbol | CAPTRUST |
| MSEI Symbol | NOTLISTED |
| ISIN | INE707C01018 |
| Name of the company | CAPITAL TRUST LIMITED |
| Whether company is SME | No |
| Class of Security | Equity Shares |
| Type of report | Quarterly |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) | 30-09-2019 |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date | |
| Shareholding pattern filed under | Regulation 31 (1) (b) |

Declaration

| Sr. No. | Particular | Yes/No | Promoter and Promoter Group | Public shareholder | Non Promoter- Non Public |
|---------|----------------------------------------------------------------------------------------|--------|-----------------------------|--------------------|--------------------------|
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No | No | No | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities ? | No | No | No | No |
| 3 | Whether the Listed Entity has issued any Warrants ? | No | No | No | No |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | No | No | No | No |
| 5 | Whether the Listed Entity has any shares in locked-in? | Yes | Yes | No | No |
| 6 | Whether any shares held by promoters are pledge or otherwise encumbered? | No | No | | |
| 7 | Whether company has equity shares with differential voting rights? | No | No | No | No |
| 8 | Whether the listed entity has any significant beneficial owner? | No | | | |

Table I - Summary Statement holding of specified securities

| Category (I) | Category of shareholder (II) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+ (VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
|--------------|--------------------------------|----------------------------|----------------------------------------------|----------------------------------------------|---------------------------------------------------|-----------------------------------------------|-----------------------------------------------------------------------------------------------------|---------------------------------------------------------------|------------|----------|-------------------------|
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of (A+B+C) |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| (A) | Promoter & Promoter Group | 3 | 10826257 | | | 10826257 | 66.17 | 10826257 | | 10826257 | 66.17 |
| (B) | Public | 6340 | 5391243 | | | 5391243 | 32.95 | 5391243 | | 5391243 | 32.95 |
| (C) | Non Promoter-Non Public | 1 | 143915 | | | 143915 | | 143915 | | 143915 | 0.88 |
| (C1) | Shares underlying DRs | | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | 1 | 143915 | | | 143915 | 0.88 | 143915 | | 143915 | 0.88 |
| | Total | 6344 | 16361415 | | | 16361415 | 100 | 16361415 | | 16361415 | 100 |

Table I - Summary Statement holding of specified securities

| Category (I) | Category of shareholder (II) | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
|--------------|--------------------------------|-----------------------------------------------------------------|----------------------------------------------------|------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------|---------------------------------|---------------------------------------------------------|---------------------------------|-----------------------------------------------------------|
| | | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| (A) | Promoter & Promoter Group | | | | 66.17 | 1550000 | 14.32 | | | 10826257 |
| (B) | Public | | | | 32.95 | | | | | 4805883 |
| (C) | Non Promoter-Non Public | | | | | | | | | 143915 |
| (C1) | Shares underlying DRs | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | 0.88 | | | | | 143915 |
| | Total | | | | 99 | 1550000 | 9.47 | | | 15776055 |

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| Sr. | Category & Name of the Shareholders (I) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V) + (VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | Total as a % of Total Voting rights |
|----------------------------------------------------------------------|---------------------------------------------------------------------------------------------------|----------------------------|----------------------------------------------|----------------------------------------------|---------------------------------------------------|------------------------------------------------|-----------------------------------------------------------------------------------------------------|---------------------------------------------------------------|------------|----------|-------------------------------------|
| | | | | | | | | No of Voting (XIV) Rights | | | |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | | |
| (1) | Indian | | | | | | | | | | |
| (a) | Individuals/Hindu undivided Family | 1 | 6038917 | | | 6038917 | 36.91 | 6038917 | | 6038917 | 36.91 |
| (d) | Any Other (specify) | 2 | 4787340 | | | 4787340 | 29.26 | 4787340 | | 4787340 | 29.26 |
| Sub-Total (A) (1) | | 3 | 10826257 | | | 10826257 | 66.17 | 10826257 | | 10826257 | 66.17 |
| (2) | Foreign | | | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) | | 3 | 10826257 | | | 10826257 | 66.17 | 10826257 | | 10826257 | 66.17 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | | | |
| (1) | Institutions | | | | | | | | | | |
| (a) | Mutual Funds | 1 | 100 | | | 100 | 0 | 100 | | 100 | 0 |
| (f) | Financial Institutions/ Banks | 1 | 100 | | | 100 | 0 | 100 | | 100 | 0 |
| (i) | Any Other (specify) | 161 | 3293735 | | | 3293735 | 20.13 | 3293735 | | 3293735 | 20.13 |
| Sub-Total (B) (1) | | 163 | 3293935 | | | 3293935 | 20.13 | 3293935 | | 3293935 | 20.13 |
| (3) | Non-institutions | | | | | | | | | | |
| (a(i)) | Individuals - i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs. | 6172 | 1509854 | | | 1509854 | 9.23 | 1509854 | | 1509854 | 9.23 |
| (a(ii)) | Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | 5 | 587454 | | | 587454 | 3.59 | 587454 | | 587454 | 3.59 |
| Sub-Total (B) (3) | | 6177 | 2097308 | | | 2097308 | 12.82 | 2097308 | | 2097308 | 12.82 |
| Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B)(3) | | 6340 | 5391243 | | | 5391243 | 32.95 | 5391243 | | 5391243 | 32.95 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | | | |
| (2) | Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014) | 1 | 143915 | | | 143915 | 0.88 | 143915 | | 143915 | 0.88 |
| Total NonPromoter- Non Public | | 1 | 143915 | | | 143915 | | 143915 | | 143915 | 0.88 |

| | | | | | | | | | | | |
|----------------------------------------|--|------|----------|--|--|----------|-----|----------|--|----------|-----|
| Shareholding (C)= (C)(1)+ (C)(2) | | | | | | | | | | | |
| Total (A+B+C2) | | 6344 | 16361415 | | | 16361415 | 100 | 16361415 | | 16361415 | 100 |
| Total (A+B+C) | | 6344 | 16361415 | | | 16361415 | 100 | 16361415 | | 16361415 | 100 |

| Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | |
|---------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|----------------------------------------------------|------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------|---------------------------------|---------------------------------------------------------|---------------------------------|-----------------------------------------------------------|
| Sr. | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
| | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | |
| (1) | Indian | | | | | | | | |
| (a) | | | | 36.91 | 1550000 | 25.67 | | | 6038917 |
| (d) | | | | 29.26 | 0 | 0 | | | 4787340 |
| Sub-Total (A) (1) | | | | 66.17 | 1550000 | 14.32 | | | 10826257 |
| (2) | Foreign | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A) (2) | | | | 66.17 | 1550000 | 14.32 | | | 10826257 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | |
| (1) | Institutions | | | | | | | | |
| (a) | | | | 0 | | | | | 0 |
| (f) | | | | 0 | | | | | 0 |
| (i) | | | | 20.13 | | | | | 3199437 |
| Sub-Total (B) (1) | | | | 20.13 | | | | | 3199437 |
| (3) | Non-institutions | | | | | | | | |
| (a(i)) | | | | 9.23 | | | | | 1018992 |
| (a(ii)) | | | | 3.59 | | | | | 587454 |
| Sub-Total (B) (3) | | | | 12.82 | | | | | 1606446 |
| Total Public Shareholding (B)=(B)(1)+(B) (2)+(B)(3) | | | | 32.95 | | | | | 4805883 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | |
| (2) | | | | 0.88 | | | | | 143915 |
| Total NonPromoter- Non Public Shareholding (C)= (C)(1)+(C) (2) | | | | | | | | | 143915 |
| Total (A+B+C2) | | | | 100 | 1550000 | 9.47 | | | 15776055 |
| Total (A+B+C) | | | | 99 | 1550000 | 9.47 | | | 15776055 |

| Individuals/Hindu undivided Family | | |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|---------------------------------------|
| Searial No. | 1 | |
| Name of the Shareholders (I) | Yogen Khosla | Click here to go back |
| PAN (II) | AAGPK3964R | Total |
| No. of fully paid up equity shares held (IV) | 6038917 | 6038917 |
| No. Of Partly paid-up equity shares held (V) | | |
| No. Of shares underlying Depository Receipts (VI) | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 6038917 | 6038917 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 36.91 | 36.91 |
| Number of Voting Rights held in each class of securities (IX) | | |
| Class eg:X | 6038917 | 6038917 |
| Class eg:y | | |
| Total | 6038917 | 6038917 |
| Total as a % of Total Voting rights | 36.91 | 36.91 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(Xi)(a) As a % of (A+B+C2) | 36.91 | 36.91 |
| Number of Locked in shares (XII) | | |
| No. (a) | 1550000 | 1550000 |
| As a % of total Shares held (b) | 25.67 | 25.67 |
| Number of Shares pledged or otherwise encumbered (XIII) | | |
| No. (a) | | |
| As a % of total Shares held (b) | | |

| | | |
|-----------------------------------------------------------|----------|---------|
| Number of equity shares held in dematerialized form (XIV) | 6038917 | 6038917 |
| Reason for not providing PAN | | |
| Reason for not providing PAN | | |
| Shareholder type | Promoter | |

| Any Other (specify) | | | |
|---------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|----------------------------------|---------------------------------------|
| Serial No. | 1 | 2 | |
| Category | Bodies Corporate | Bodies Corporate | Click here to go back |
| Name of the Shareholders (I) | INDO CREDIOP PRIVATE LIMITED | MOONLIGHT EQUITY PRIVATE LIMITED | |
| PAN (II) | AAACI1876R | AAACD3099D | Total |
| No. of the Shareholders (I) | 1 | 1 | 2 |
| No. of fully paid up equity shares held (IV) | 3427885 | 1359455 | 4787340 |
| No. Of Partly paid-up equity shares held (V) | | | |
| No. Of shares underlying Depository Receipts (VI) | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 3427885 | 1359455 | 4787340 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 20.95 | 8.31 | 29.26 |
| Number of Voting Rights held in each class of securities (IX) | | | |
| Class eg: X | 3427885 | 1359455 | 4787340 |
| Class eg:y | | | |
| Total | 3427885 | 1359455 | 4787340 |
| Total as a % of Total Voting rights | 20.95 | 8.31 | 29.26 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2) | 20.95 | 8.31 | 29.26 |
| Number of Locked in shares (XII) | | | |
| No. (a) | 0 | 0 | 0 |
| As a % of total Shares held (b) | 0 | 0 | 0 |

| Number of Shares pledged or otherwise encumbered (XIII) | | | |
|-----------------------------------------------------------|----------|----------|---------|
| No. (a) | | | |
| As a % of total Shares held (b) | | | |
| Number of equity shares held in dematerialized form (XIV) | 3427885 | 1359455 | 4787340 |
| Reason for not providing PAN | | | |
| Reason for not providing PAN | | | |
| Shareholder type | Promoter | Promoter | |

| Any Other (specify) | | | | | | |
|---------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|---------------------------------------------|----------------------------------------|-----------------|----------|----------|
| Serial No. | 1 | 2 | 3 | 4 | 5 | 6 |
| Category | Bodies Corporate | Overseas Corporate Bodies | Overseas Corporate Bodies | Clearing Member | Trust | Other |
| Category / More than 1 percentage | Category | More than 1 percentage of shareholding | More than 1 percentage of shareholding | Category | Category | Category |
| Name of the Shareholders (I) | | Lighthouse Emerging India Investors Limited | India 20 20 II Investors, Limited | | | NRIs |
| PAN (II) | | AACCL9792P | AADC19497D | | | |
| No. of the Shareholders (I) | 92 | 1 | 1 | 22 | 1 | 44 |
| No. of fully paid up equity shares held (IV) | 438150 | 403481 | 2421519 | 7338 | 250 | 22997 |
| No. Of Partly paid-up equity shares held (V) | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 438150 | 403481 | 2421519 | 7338 | 250 | 22997 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 2.68 | 2.47 | 14.8 | 0.04 | 0 | 0.14 |
| Number of Voting Rights held in each class of securities (IX) | | | | | | |
| Class eg: X | 438150 | 403481 | 2421519 | 7338 | 250 | 22997 |
| Class eg:y | | | | | | |
| Total | 438150 | 403481 | 2421519 | 7338 | 250 | 22997 |
| Total as a % of Total Voting rights | 2.68 | 2.47 | 14.8 | 0.04 | 0 | 0.14 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and Warrants (X) | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2) | 2.68 | 2.47 | 14.8 | 0.04 | 0 | 0.14 |
| Number of Locked in shares (XII) | | | | | | |
| No. (a) | | | | | | |

| | | | | | | |
|-----------------------------------------------------------|--------|--------|---------|------|-----|-------|
| As a % of total Shares held (b) | | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 345852 | 403481 | 2421519 | 7338 | 250 | 20997 |
| Reason for not providing PAN | | | | | | |
| Reason for not providing PAN | | | | | | |

| Any Other (specify) | |
|---------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------|
| Serial No. | |
| Category | |
| Category / More than 1 percentage | Click here to go back |
| Name of the Shareholders (I) | |
| PAN (II) | Total |
| No. of the Shareholders (I) | 159 |
| No. of fully paid up equity shares held (IV) | 468735 |
| No. Of Partly paid-up equity shares held (V) | |
| No. Of shares underlying Depository Receipts (VI) | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 468735 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 2.86 |
| Number of Voting Rights held in each class of securities (IX) | |
| Class eg: X | 468735 |
| Class eg:y | |
| Total | 468735 |
| Total as a % of Total Voting rights | 2.86 |
| No. Of Shares Underlying Outstanding convertible securities (X) | |
| No. of Shares Underlying Outstanding Warrants (Xi) | |
| No. Of Shares Underlying Outstanding convertible securities and Warrants (X) | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2) | 2.86 |
| Number of Locked in shares (XII) | |
| No. (a) | |
| As a % of total Shares held (b) | |

| | |
|-----------------------------------------------------------|--------|
| Number of equity shares held in dematerialized form (XIV) | 374437 |
| Reason for not providing PAN | |
| Reason for not providing PAN | |

| Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | | | | |
|---------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------|------------------|------------|---------------------------------------|
| Serial No. | 1 | 2 | 3 | |
| Name of the Shareholders (I) | Rohinton Soli Screwvala | Neha Nirav Sheth | Others | Click here to go back |
| PAN (II) | AAGPS7083K | ASIPS3842G | ZZZZZ9999Z | Total |
| No. of fully paid up equity shares held (IV) | 209017 | 178676 | 199761 | 587454 |
| No. Of Partly paid-up equity shares held (V) | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 209017 | 178676 | 199761 | 587454 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 1.28 | 1.09 | 1.22 | 3.59 |
| Number of Voting Rights held in each class of securities (IX) | | | | |
| Class eg: X | 209017 | 178676 | 199761 | 587454 |
| Class eg:y | | | | |
| Total | 209017 | 178676 | 199761 | 587454 |
| Total as a % of Total Voting rights | 1.28 | 1.09 | 1.22 | 3.59 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2) | 1.28 | 1.09 | 1.22 | 3.59 |
| Number of Locked in shares (XII) | | | | |
| No. (a) | | | | |
| As a % of total Shares held (b) | | | | |
| Number of equity shares held in dematerialized form (XIV) | 209017 | 178676 | 199761 | 587454 |
| Reason for not providing PAN | | | | |

| | | | | |
|------------------------------|--|--|------------------------|--|
| Reason for not providing PAN | | | Textual Information(1) | |
|------------------------------|--|--|------------------------|--|

| | |
|------------------------|----|
| Text Block | |
| Textual Information(1) | NA |

Sr. No. Details of the SBO Details of the registered owner Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*: Date of creation / acquisition of significant beneficial interest Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Whether by virtue of: Shares Voting rights Rights on distributable dividend or any other distribution Exercise of control Exercise of significant influence